# Evaluating Generalization and Transfer Capacity of Multi-Agent Reinforcement Learning Across Variable Number of Agents

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# Outline

Introduction

Methods

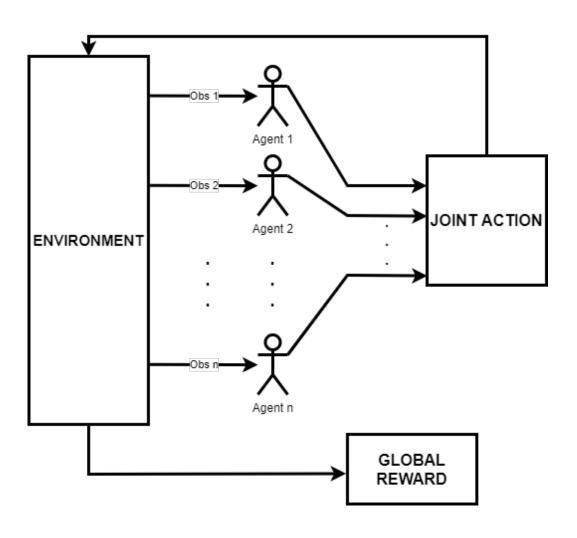
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#### Overview

### Cooperative Multi-Agent Reinforcement Learning



- Common goal
- Global reward
- Local observations

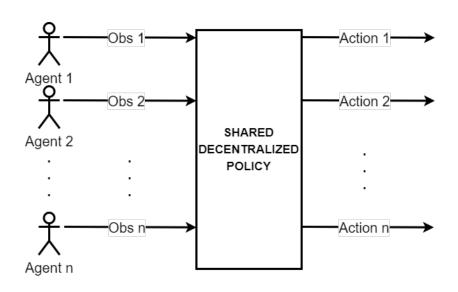
Issues: Non-stationarity, partial observability, restricted communication



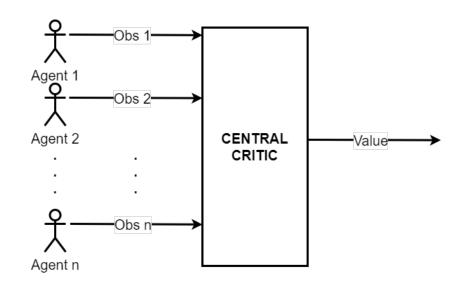
Centralized Training Decentralized Execution Paradigm (CTDE)

#### Overview

# Centralized Training Decentralized Execution



Number of agents in training is fixed!

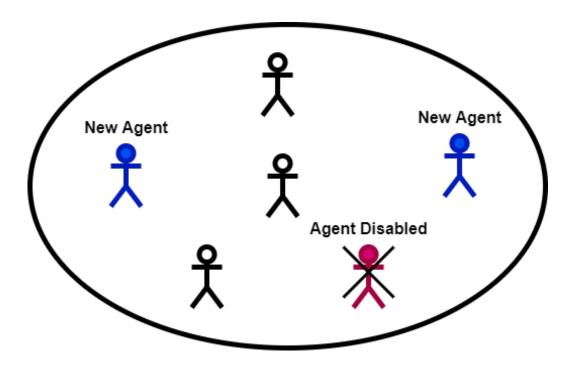


In real world scenarios:

- Number of agents vary
- Unguaranteed communication necessitate decentralized policies

# Motivation and Approach

Environment with Variable Number of Agents



As number of agents  $\uparrow$  scalability becomes an issue.

#### We investigate:

- Can learned decentralized policy work for settings with more / less agents?
- Are resulting policies good enough for use in systems with many more agents?

#### We show:

- Environment is key and a sweet spot exists for the optimal number of agents to train,
- Optimal agent count to train is different than target.
- Transfer across large number of agents can be a more efficient solution to scaling up in some environments

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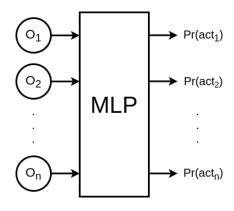
Introduction

Methods

# Algorithm and Network Architecture

#### Decentralized Policy Network:

#### Multilayer Perceptrone

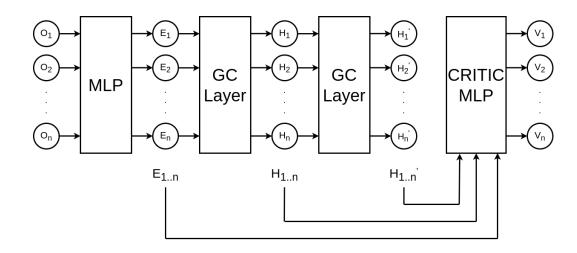


Algorithm: PPO (Proximal Policy Optimization) [1]

$$r_t(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{\pi_{\theta_{\text{old}}}(a_t \mid s_t)}$$

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t \left[ \min(r_t(\theta) \hat{A}_t, \text{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon) \hat{A}_t) \right]$$

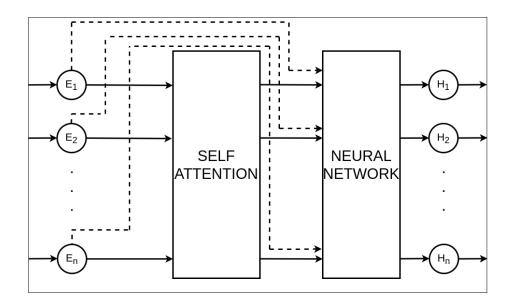
#### Centralized Critic Network



GC Layer: Graph Convolutional Layer with Self Attention Modules  $o_i$ : observation of agent i - single time-step partial observation

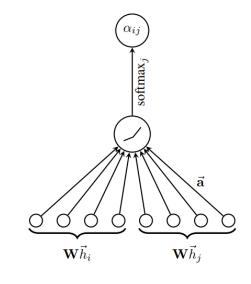
# Algorithm and Network Architecture

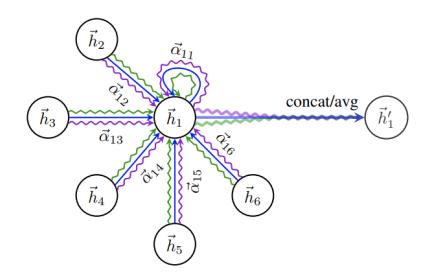
# Graph Convolutional Layer with Self Attention



Self attention is used - only 1 attention head is used.

#### Graph Attention Networks [2]





#### **Evaluation Method**

- Agent capacity of environment is determined. Number of agents to train and evaluate system performance is determined.
- Por each determined training number, system is trained at that fixed count until performance converges.
- For each trained model, system is evaluated for all determined agent counts
- Results are grouped per number of agents in evaluation. Performances of training for each agent count are analyzed and compared.

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# Predator Prey Environment

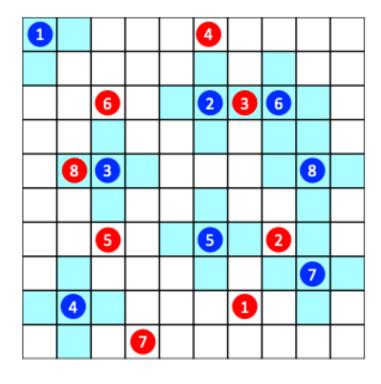


Figure 1: Predator Prey Environment [3] example grid size:  $10 \times 10$ 

- Blue: Predators Red: Preys
- Preys act → Predetermined rules + randomness
- Used grid size:  $20 \times 20$
- Uncoordinated captures penalized
- Max agent capacity determined: 80 predators 80 preys
- Train and Evaluation agent counts determined:

#### Traffic Junction Environment

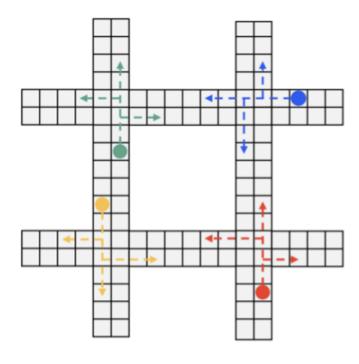


Figure 2: Traffic Junction Environment [3]

mode: hard

- Environment mode: hard - 4 junctions
- Goal: reach destination without accident
- Max agent capacity determined : 20 agents
- Train and Evaluation agent counts determined:

# Predator Prey Environment Evaluation Results

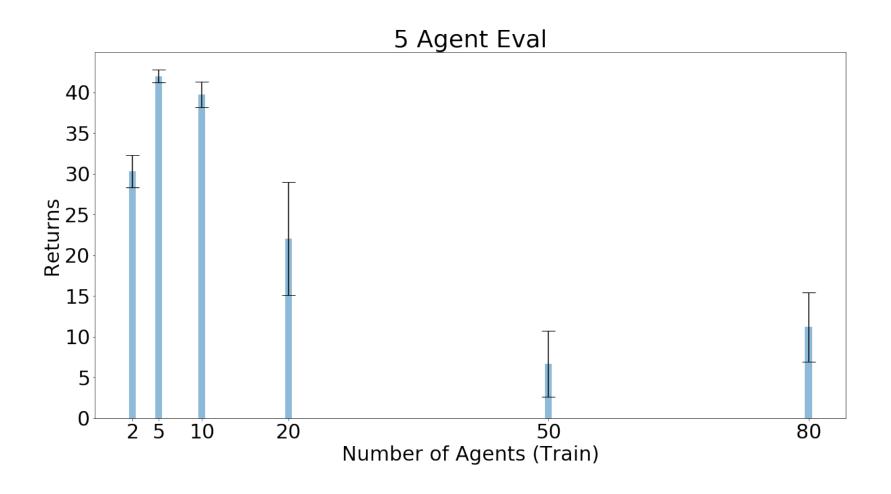
	2	5	10	20	50	80
2	$-2.01 \pm 1.56$	$30.30 \pm 1.95$	$86.48 \pm 2.69$	$192.38 \pm 1.88$	$496.58 \pm 0.56$	$797.30 \pm 0.59$
5	$+4.62 \pm 1.46$	$41.98 \pm 0.77$	$94.60 \pm 0.78$	$196.37 \pm 0.58$	$497.96 \pm 0.40$	$798.07 \pm 0.82$
10	$-2.93 \pm 1.10$	$39.71 \pm 1.56$	$95.35 \pm 0.21$	$196.95 \pm 0.07$	$498.18 \pm 0.37$	$798.28 \pm 0.76$
20	$-11.75 \pm 2.09$	$22.05 \pm 6.95$	$84.60 \pm 7.86$	$194.08 \pm 2.48$	$496.80 \pm 0.47$	$794.74 \pm 2.30$
50	$-16.52 \pm 1.68$	$6.68 \pm 4.03$	$63.39 \pm 4.61$	$182.00 \pm 2.86$	$494.68 \pm 1.00$	$795.90 \pm 1.45$
80	$-13.96 \pm 1.00$	$11.17 \pm 4.29$	$62.57 \pm 7.94$	$168.27 \pm 8.47$	$484.42 \pm 4.33$	$789.59 \pm 2.09$

Table 1: Mean of Total Rewards for Predator Prey

Columns: number of agents in evaluation, rows: number of agents in training.

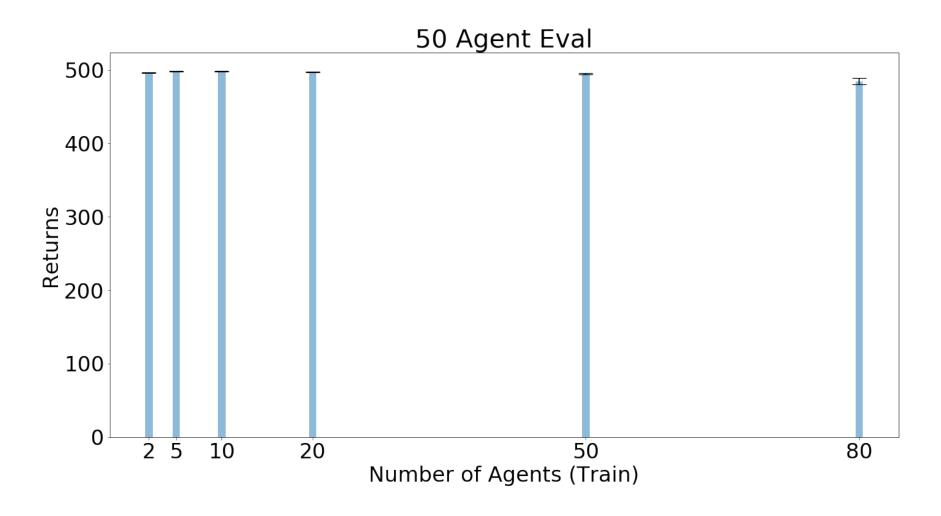
- Models trained with few number of agents have high generalization and transfer capacity for execution with high number of agents.
- The reverse is not true.
- Models trained with high number of agents have low generalization and transfer capacity for execution with low number of agents.
- Choosing number of agents to train from the range [5, 10] would be the better choice for transfer to system with any number of agent count

# Predator Prey Environment Evaluation Results



For 5 agent evaluation case: Training with 5 agents gives the best evaluation result with 10 agent case following it. Models trained with large number of agents such as 50-80 have very poor performance.

# Predator Prey Environment Evaluation Results



For 50 agent evaluation case: Training with 10 agents gives the best evaluation results with 5 agent case following it. 50 agent training case has the worst performance. (performance differences are marginal)

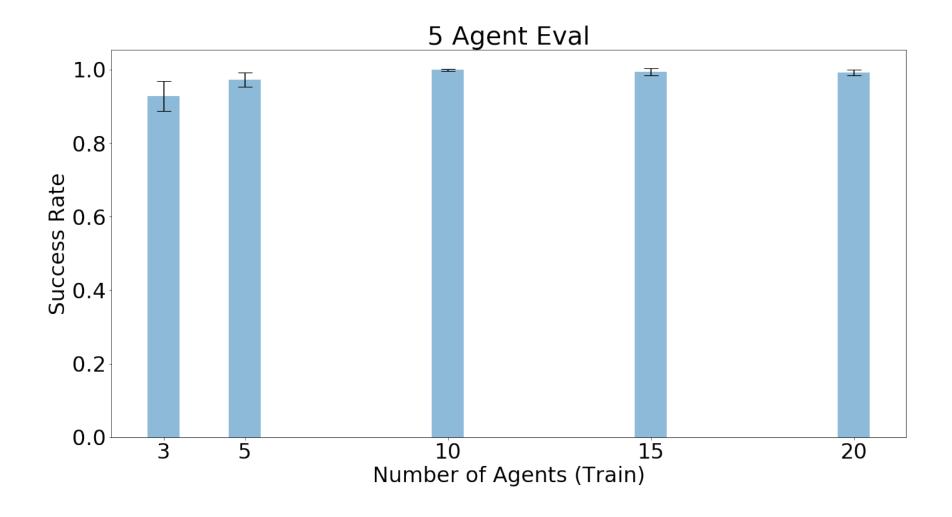
#### Traffic Junction Evaluation Results

	3	5	10	15	20
3	$0.99 \pm 0$	$0.92 \pm 0.04$	$0.56\pm0.10$	$0.26 \pm 0.14$	$0.23\pm0.15$
5	$0.99\pm0$	$\boldsymbol{0.97 \pm 0.01}$	$\boldsymbol{0.77 \pm 0.09}$	$0.58 \pm 0.16$	$0.58 \pm 0.18$
10	$1.00\pm0$	$0.99 \pm 0.00$	$0.95 \pm 0.01$	$\textbf{0.84} \pm \textbf{0.07}$	$0.73 \pm 0.09$
15	$1.00\pm0$	$0.99 \pm 0.01$	$\textbf{0.94} \pm \textbf{0.01}$	$0.85 \pm 0.03$	$0.79 \pm 0.05$
20	$0.99 \pm 0$	$0.99 \pm 0.00$	$0.90 \pm 0.02$	$0.83 \pm 0.04$	$0.79 \pm 0.03$

Table 2: Mean of Success Rates for Traffic Junction Columns: number of agents in evaluation, rows:number of agents in training.

- Models trained with few number of agents such as 3-5 get evaluation results with much lower success rate compared to the evaluation results of models that are trained with large number of agents such as 15-20.
- Models that are trained with 15-20 agents have very high success rate for the evaluation cases where there are 3-5 agents in the environment.
- Models trained with few number of agents can not sufficiently transfer for execution with high number of agents.
- Environment dynamic is key for transfer.

#### Traffic Junction Environment Evaluation Results



Models that are trained with 15-20 agents have very high success rate for the evaluation case with 5 agents in the environment

# Traffic Junction Environment Evaluation Results

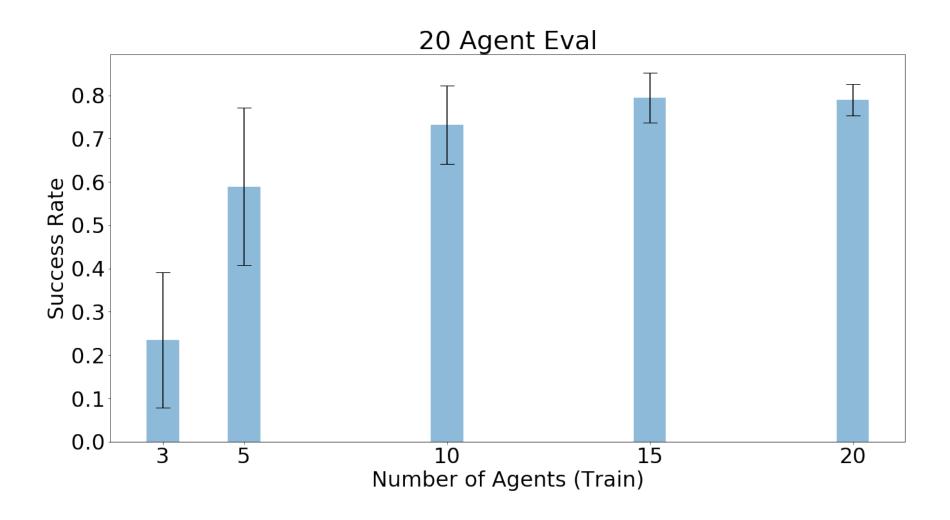


Figure 3: 20 Agents

Models that are trained with 3-5 agents have very low success rate for the evaluation cases where there are 15-20 agents in the environment.

#### References I

- [1] J. Schulman, F. Wolski, P. Dhariwal, A. Radford, and O. Klimov, Proximal policy optimization algorithms, 2017. arXiv: 1707.06347 [cs.LG].
- [2] P. Veličković, G. Cucurull, A. Casanova, A. Romero, P. Liò, and Y. Bengio, *Graph attention networks*, 2018. arXiv: 1710.10903 [stat.ML].
- [3] S. Li, J. K. Gupta, P. Morales, R. Allen, and M. J. Kochenderfer, Deep implicit coordination graphs for multi-agent reinforcement learning, 2021. arXiv: 2006.11438 [cs.LG].